

APR. 1. 2002 3:00PM

NO. 6015 P. 4/17



Robert Greene Sterne  
Edward J. Kessler  
Jorge A. Goldstein  
David K.S. Cornwell  
Robert W. Esmond  
Tracy-Gene G. Durkin  
Michele A. Cimbrala  
Michael B. Ray  
Robert E. Spitz  
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Michael Q. Lee  
Steven R. Ludwig  
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Judith L. Kim  
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Teresa U. Medler  
Jeffrey S. Weaver  
Kendrick P. Patterson  
Vincent L. Capurro  
Albert I. Fasulo II  
Eklora Eilton Floyd  
W. Russell Swindell

Thomas C. Flala  
Brian J. Del Buono  
Virgil Lee Beabson  
Reginald D. Lucas  
Christopher M. Reddick  
Theodore A. Wood  
Elizabeth J. Neanes  
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Joseph S. Ostroff  
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Rae Lynn Prengeman  
Jane Shershenovich  
Lawrence J. Carroli

Senior Counsel  
Samuel L. Fox  
Kenneth C. Bass III

Registered Patent Agents  
Karen K. Markowicz  
Andrea J. Karmage  
Nancy J. Leith  
Joseph M. Conrad III  
Ann E. Summerfield  
Helene C. Carlson  
Gaby L. Longworth  
Matthew J. Dowd  
Aaron L. Schwartz  
Angellique G. Uy  
Boris A. Malvenko  
Mary B. Tung

\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Admitted only in Texas



COPY OF PAPERS  
ORIGINALLY FILED  
03/01/02

March 1, 2002

WRITER'S DIRECT NUMBER:  
(202) 371-2667  
INTERNET ADDRESS:  
MIKEM@SKGF.COM

Commissioner for Patents  
Washington, D.C. 20231

Box: Missing Parts

Re: U.S. Utility Patent Application  
Appl. No. 09/939,798; Filed: August 28, 2001  
For: Method and System for Direct Access to Web Content via a Telephone  
Inventors: Arthur I. LAURSEN *et al.*  
Our Ref: 2013.0060000

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated October 1, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17;
2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
3. Copy of the Notice to File Missing Parts;
4. Original Declaration, executed by the inventors;
5. One (1) return postcard; and
6. Our check no. 34376 for \$1,081.00 to cover:  
\$370.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);  
\$186.00 Extra claims fee (37 C.F.R. 1.16);  
\$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and  
\$460.00 Three (3) month Extension of time fee Under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

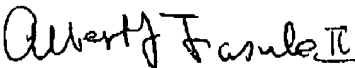
Sterne, Kessler, Goldstein & Fox P.C. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 : 202.371.2540 : www.skgf.com

Commissioner for Patents  
March 1, 2002  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

*for*  *Albert J. Fasulo II*  
Michael V. Messinger  
Attorney for Applicants  
Registration No. 37,575  
*Reg. No. 43,607*

Enclosures

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NO. 6015 P. 6/17

APR 01 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0851-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 1,081.00**Complete If Known**

Application Number	09/938,798
Filing Date	August 28, 2001
First Named Inventor	Arthur I. LAURSEN
Examiner Name	To Be Assigned
Group Art Unit	2861
Attorney Docket No.	2013.0060000

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036  
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other\*

\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370.00
106	330	206	166	Design filing fee	
107	610	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1) (\$)** 370.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra	Fee from below	Fee Paid
22 - 20** = 2		X 9.00	= 18.00
Indep. Claims 7 - 3** = 4		X 42.00	= 168.00
Multiple Dependent			=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	84	208	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 188.00

\*\* or number previously paid, if greater. For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity	Small Entity
--------------	--------------

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	460.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,860	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
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123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
148	740	248	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 525.00

**SUBMITTED BY**

Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,576	Telephone	202-371-2600
Signature	Albert J. Fausch II	Reg. No.	43,607	Date	March 1, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SKGE Rev. 10/01/01 mme

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APR 1 2002 3:00PM

OIPE  
APR 01 2002

NO. 6015 P. 2/17



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Attr. Docket No.
09/939,798	08/28/2001	Laursen, Arthur	2013.0060000

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON DC 20005-3934

MAR 26 2002

Title: Method and system for direct access to web content via a telephone

**DOCKETED**

*Fax/Hand Carry Reply due April 5, 2002*  
Date Mailed: 03/22/2002  
4/1

**Request for Substitute Papers**

The papers filed on 03/01/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Albert J. Fasulo, II Registration No.: 43,607

Signature: *Albert J. Fasulo* Date: 4/1/02

APR 1, 2002 3:00PM

STERNE, KESSLER, GOLDS, J & FOX PLLC  
ATTORNEYS AT LAW  
GOVERNMENT FEES ACCT.  
1100 NEW YORK AVE. NW, SUITE 800  
WASHINGTON, D.C. 20005

NO. 6015 P. 3/17

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34376

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09/939,798

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⑈034376⑈ ⑆054001220⑆ 2076500307989⑈

Due Date: March 1, 2002

Applicants: Arthur I. LAURSEN et al.

Due Date: March 1, 2002

Art Unit: 2661

Application No.: 09/939,798

Examiner: To Be Assigned

Filed: August 28, 2001

Docket: 2013.0060000

For: Method and System for Direct Access to Web Content via a Telephone

Atty: MVM

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF Cover Letter;
2. PTO Fee Transmittal Form PTO/SB/17;
3. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
4. Copy of the Notice to File Missing Parts;
5. Original Declaration, executed by the inventors;
6. One (1) return postcard; and

7. Our check no. 34376 for \$1,081.00 to cover:

\$370.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);

\$186.00 Extra claims fee (37 C.F.R. 1.16);

\$65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16);

\$460.00 Three (3) month Extension of time fee Under 37 C.F.R. § 1.136.



Please Date Stamp And Return To Our Courier

Box: Missing Parts

APR 1 2002 3:03PM

NO. 6015 P. 12/17



Robert Greene Sterne  
Edward J. Kessler  
Jorge A. Goldstein  
David K.S. Cornwell  
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Tracy-Gene G. Durkin  
Michelle A. Cimbalis  
Michael B. Ray  
Robert E. Soloch  
Eric K. Steffe  
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Frank R. Cottingham  
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Rae Lynn Prangasnan  
Jane Shendongovich  
Lawrence J. Carroll

Senior Counsel  
Samuel L. Fox  
Kenneth C. Bass III

Bookend Patent Account  
Karin R. Markowicz  
Andree J. Karsage  
Nancy J. Leish  
Joseph M. Conrad III  
Ann E. Summerfield  
Helene C. Carlson  
Gabry L. Longworth  
Matthew J. Dowd  
Aaron L. Schwartz  
Angelique G. Uy  
Doris A. Matveenko  
Mary B. Tung

\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Admitted only in Texas

APR 01 2002

March 1, 2002

COPY OF PAPERS  
ORIGINALLY FILED

83101102

WRITER'S DIRECT NUMBER:  
(202) 371-2667

INTERNET ADDRESS:  
MTXEM@SKGF.COM

The Honorable Director  
of Patents and Trademarks  
Washington, D.C. 20231

Box: Assignment

Re: U.S. Non-Provisional Patent Application  
Appl. No. 09/939,798; Filed: August 28, 2001  
For: Method and System for Direct Access to Web Content via a Telephone  
Inventors: Arthur I. LAURSEN *et al.*  
Our Ref: 2013.0060000

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Recordation Form Cover Sheet (Form PTO-1595);
2. Assignment to IP Unity, official recordation and return of which are respectfully requested;
3. PTO Fee Transmittal Form (PTO/SB/17);
4. One (1) return postcard; and
5. Our check no. 34375 for \$40.00 to cover recordation fee (37 C.F.R. § 1.21).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

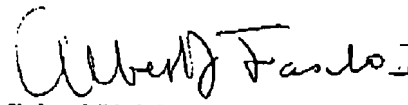
Sterne, Kessler, Goldstein & Fox P.C. • 1100 New York Avenue, NW • Washington, DC 20005 • 202.371.2600 / 202.371.2540 • [www.skjgf.com](http://www.skjgf.com)

The Honorable Director  
of Patents and Trademarks  
March 1, 2002  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

*for*  , Albert J. Fasulo II  
Michael V. Messinger  
Attorney for Applicants  
Registration No. 37,575  
Reg. No. 43,607

Enclosures

PAUSERS\Deeds\Goldstein & Fox\mfc\2013\006\Assignment filing pto cvr ltr

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NO. 6015 P. 17/17

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Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (10-01)

APR 01 2002

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 40.00)**Complete If Known**

Application Number	08/939,798
Filing Date	August 28, 2001
First Named Inventor	Arthur I. LAURSEN
Examiner Name	To Be Assigned
Group Art Unit	2861
Attorney Docket No.	2013.0060000

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number	19-0036
Deposit Account Name	Stenke, Kester, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☒ Other\*  
\*Change any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	930	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

**2. EXTRA CLAIM FEES**

	Extra	Fee from below	Fee Paid
Total Claims	- 20** =	X	=
Indep. Claims	- 3** =	X	=
Multiple Dependent			=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	94	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	94	208	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
106	130	206	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
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147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
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141	1,280	241	640	Petition to revive - unintentional	
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179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,575	Telephone	202-371-2600
Signature	Albert J. Fasulo II	Reg No	43,607	Date	March 1, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SKGP Rev. 10/01/01 imac

P:\USERS\Demko\MVM (Rev201306) Assignment Filing Fee transac

Received from &lt; &gt; at 4/1/02 2:54:25 PM [Eastern Standard Time]





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/939,798	08/28/2001	Laursen, Arthur	2013.0060000

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON DC 20005-3934

**Title:** Method and system for direct access to web content via a telephone

Date Mailed: 03/22/2002

## Request for Substitute Papers

The papers filed on 03/01/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

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-----  
The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

APR. 1. 2002 3:02PM

NO. 6015

P. 8/17

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,798	08/28/2001	Arthur I. Laursen	2013.0060000

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON, DC 20005-3934

CONFIRMATION NO. 2881

FORMALITIES LETTER

\*OC000000006822363\*

COPY OF PAPERS  
ORIGINALLY FILED

02/01/02

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$356.
  - \$36 for 2 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1196.

*A copy of this notice **MUST** be returned with the reply.*

MD

Customer Service Center

Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE